

Client Code Modification Policy

[In accordance with SEBI circular ref. no. CIR/DNPD/6/2011 dated July 5, 2011 and the Exchange circular ref no. NSE/INVG/2011/18484 dated July 29, 2011 and the Exchange circular ref no. NSE/INVG/2011/18716 dated August 26, 2011]

Client code modification is not allowed as routine item.

In exceptional circumstances, it would be allowed in case of punching error/communication error and modifications within relative accounts only after ascertaining and analyzing the reason for modification and considering the genuineness. Case wise details should be reported to the senior level Manager and only with his/her approval, the modification should be carried after being satisfied that it is genuine, the same is required to be done to protect the interests of the client.

The facility to modify the client codes is available only at the Head Office and no such rights are given to the branches/sub-brokers/ authorised persons. In rare case this needs to be done for Neat terminals, we enable modification facility for that particular day after obtaining approval from Senior level Manager and disable the same very next day

A register is being maintained for recording all the code modifications with all the necessary details.